

HSP – PMG Theme Board Risk Register 2008-09

Performance Management Group (PMG) – Significant Risks

This document sets out the HSP Performance Management Group key risks, as per our agreed approach. The risks are based partly upon the LAA targets, which have been included below for information.

- i. NI1 - % of people who believe people from different backgrounds get on well together in their local area
- ii. NI4 - % of people who feel that they can influence decisions in their locality
- iii. NI6 - Participation in regular volunteering
- iv. NI140 - Fair treatment by local services - proxy to what extent does your local council treat all types of people fairly

Key to the Risk Register:

Ref: Details the reference number (usually the National Indicator) for the risk.

Risk Identified: Details the risk identified by the PMG or Theme Board.

Inherent Risk: Is assessed by Impact (I) and Likelihood (L). The Inherent risk is the impact of the risk occurring, and how likely it is to occur, without any mitigating actions in place to address the risk. The Impact and Likelihood of the risks are scored from Low to High according to the schedule in Appendix 1 of this report. The rankings can be tied into the overall HSP risk framework.

Controls: The actions and processes which are currently in place to manage the risk identified.

Residual Risk: Is assessed on the same rankings as Inherent Risk. The Residual Risk is the impact and likelihood of the risk occurring with the current controls in place.

Further Action: Where there is outstanding residual risk, further actions have been identified by the Theme Board to reduce the exposure of the Theme Board to the risk. A separate action plan, including a timetable for implementation of the further actions, will be produced where appropriate.

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Ref	Risk Identified	Inherent Risk		Controls	Residual Risk		Further Action
		Impact	L.hood		Impact	L.hood	
Lack of continuity of membership across theme boards							
PMG1	Lack of continuity of membership impacts on the ability to deliver on outcomes/targets: <ul style="list-style-type: none"> • High turnover of members • Inability to recruit and/or retain right members • Non-attendance of members at meetings • Lack of continuity and/or succession planning Risk Owner:			<ul style="list-style-type: none"> • Agreed recruitment procedures for Theme Board membership • Responsibility for filling posts identified • Training & Development for Theme Board members • Reporting processes to highlight and identify vacancies and/or non-attendance Control Owner:			<ul style="list-style-type: none"> • Action plan to address identified gaps to be drawn up • To be included as a regular agenda item at PMG meetings
Data Quality and/or Information management arrangements							
PMG2							
Governance arrangements							
PMG3							
Non-delivery of outcomes; allocation of resources, commissioning, spend, linkages across the theme boards/cross-cutting work							
PMG4							

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Ref	Risk Identified	Inherent Risk		Controls	Residual Risk		Further Action
		Impact	L.hood		Impact	L.hood	
	% of people who believe people from different backgrounds get on well together in their local area						
NI1							
	% of people who feel that they can influence decisions in their locality						
NI4							
	Participation in regular volunteering						
NI6							
	Fair treatment by local services - proxy to what extent does your local council treat all types of people fairly						
NI140							

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Appendix A1

Impact and Likelihood Scales

To be used as a guide in assessing risk ratings:

Descriptor	Impact Guide	Likelihood Guide
LOW	No or limited impact. Financial loss up to £10,000, or no impact outside single objective or no adverse publicity	Up to 10% likely to occur in next 12 months
MEDIUM	Financial loss up to £300,000, or impact on many other processes, or local adverse publicity, or regulatory sanctions (such as intervention, public interest reports)	Up to 40% likely to occur in next 12 months
HIGH	Financial loss up to £1 million, or major impact at strategic level, or closure/transfer of business	Up to 90% likely to occur in next 12 months